PFL BONDING

# HOW TO REQUEST PAID FAMILY LEAVE

to bond with a newly born, adopted, or fostered child





#### BEFORE YOU APPLY FOR PAID FAMILY LEAVE

- Check the eligibility requirements. See next page or visit PaidFamilyLeave.ny.gov/eligibility.
- Plan your leave. Leave can be taken all at once or intermittently, but must be taken in full-day increments.
- Notify your employer at least <u>30 days</u> in advance, if foreseeable, or as soon as possible.

#### COMPLETE YOUR FORMS AND ATTACH REQUIRED DOCUMENTATION

#### Complete the Request for Paid Family Leave (Form PFL-1).

Note: This form has sections that need to be completed by you and by your employer.

- ☐ Fill out your section, make a copy, and give the form to your employer to fill out *Part B*.
- ☐ Your employer is required to return *Form PFL-1* to you within <u>three business days</u>. If there is a delay, you do not have to wait to proceed. Send the *Form PFL-1* that you have filled out, along with the rest of your request package, directly to your employer's insurance carrier.
- Complete the Bonding Certification (Form PFL-2).
  - ☐ Complete *Form PFL-2* and attach the required documentation. (See next page for details.)

#### SUBMIT TO YOUR EMPLOYER'S INSURANCE CARRIER

You must submit your completed request package to your employer's insurance carrier within 30 days after the start of your leave to avoid losing benefits.

Keep a copy of all forms and documentation for your records.

Mail or fax your *Form PFL-1* and *Form PFL-2*, and required documentation to your employer's insurance carrier.

To find out who your employer's insurance carrier is, you can:

- Look for the Paid Family Leave poster in your workplace.
- Ask your employer.
- Look it up using the employer coverage search application on wcb.ny.gov.

If you cannot find your employer's insurance carrier, call the Paid Family Leave (PFL) Helpline for assistance: **(844) 337-6303** 

The PFL Helpline is available Monday - Friday, 8:30 a.m. to 4:30 p.m.

Please do NOT submit your request package to the NYS Workers' Compensation Board.

It is YOUR responsibility to submit the forms to the insurance carrier. It is NOT your employer's responsibility.



### Important to know

- In most cases, the insurance carrier must pay or deny benefits within 18 days of receiving your completed request or your first day of leave, whichever is later. Your request cannot be considered incomplete solely because your employer did not fill out Part B of Form PFL-1 within three business days.
- If the carrier denies or fails to timely pay your benefits, or you have any other claim-related dispute, you may request to have the carrier's actions reviewed. More information can be found at nyspfla.namadr.com.
- Complaints about employer discrimination or retaliation are resolved by a Workers' Compensation Board Law
  Judge after a hearing. If you believe that your employer has discriminated or retaliated against you for taking
  or requesting Paid Family Leave, visit PaidFamilyLeave.ny.gov/protections or contact (844) 337-6303.

### **Eligibility**

- Parents can take job-protected, paid time off to bond with their new child within the <u>first 12 months</u> of the child's birth, adoption or foster placement.
- Most employees who work for private employers in New York State are covered under Paid Family Leave.
  - Full-time employees: If you work a regular schedule of 20 or more hours per week, you are eligible after 26 consecutive weeks of employment with your employer.
  - Part-time employees: If you work a regular schedule of less than 20 hours per week, you are eligible after working for your employer for 175 days, which do not need to be consecutive.
- Non-represented public employees may be covered if their employer has voluntarily opted in to provide the benefit. Union-represented public employees may be covered if the benefit has been negotiated through collective bargaining.
- Citizenship and/or immigration status is not a factor in employee eligibility.
- If you believe you are eligible, you can apply for Paid Family Leave and the insurance carrier will make a determination.
- If you have questions about eligibility rules, call the PFL Helpline at (844) 337-6303 (Monday - Friday, 8:30 a.m. to 4:30 p.m.).

Remember: It is YOUR responsibility to submit the forms to the insurance carrier. It is not your employer's responsibility.

### Required documentation

The required documentation varies based on the type of leave, as outlined below:

#### FOR THE BIRTH OF A CHILD

The birth parent will need the following documentation:

 A copy of the child's birth certificate, if available, <u>or</u> an original copy of a health care provider certification of birth.

A non-birth parent will need the following documentation:

 A copy of the child's birth certificate, if available, naming them as the second parent, a Voluntary Acknowledgement of Parentage, or a Court Order of Filiation.

or

 Same documentation as birth parent and a second document verifying the relationship to the birth parent, such as a marriage certificate, civil union, or domestic partner document.

#### **FOR ADOPTION**

A copy of court documents finalizing the adoption.

Documentation in furtherance of adoption.

If the second parent is not named in the legal documents, the second parent must also provide proof verifying the relationship to the parent named in the court documents, such as a marriage certificate, civil union, or domestic partner document.

#### FOR FOSTER PLACEMENT

Foster care placement letter issued by the county or city department of social services or authorized voluntary foster care agency.

If the second parent is not named in the placement letter, the second parent must also provide proof verifying the relationship to the parent named in the placement letter, such as a marriage certificate, civil union, or domestic partner document.

NEW YORK Paid Family
STATE Leave

## Request for Paid Family Leave (Form PFL-1) Instructions

- To request Paid Family Leave (PFL), the employee requesting PFL must complete Part A of the *Request for Paid Family Leave (Form PFL-1)*. All items on the form are required unless noted as optional. The employee then provides the form to the employer to complete Part B.
- The employer completes Part B of the *Request for Paid Family Leave (Form PFL-1)* and returns it to the employee within three business days.
- Additional forms are required depending on the type of leave being requested. The employee requesting leave
  is responsible for the completion of these forms.
- The employee submits the completed Request for Paid Family Leave (Form PFL-1) with the required additional
  form to the employer's PFL insurance carrier listed on Part B of Request for Paid Family Leave (Form PFL-1).
   The employee should retain a copy of each submitted form for their records.

#### PART A - EMPLOYEE INFORMATION (to be completed by the employee)

The employee requesting PFL must complete all required information.

#### PFL Request (to be completed by the employee)

Question 12: A child includes a biological, adopted, or fostered child, a stepchild, a legal ward, a child of a domestic partner, or the person to whom the employee stands in loco parentis. A parent is defined as a biological, foster, or adoptive parent, parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.

Question 13: If dates are "Continuous," the employee must provide the start and end dates of the requested PFL. These dates should be the actual dates that the PFL will begin and end. If uncertain, estimate the start and end dates and indicate "Dates are estimated." If dates are "Periodic," enter the dates PFL will be taken. Please be as specific as possible. If the dates are unknown or estimated,

indicate "Dates are estimated."

If dates are estimated, the PFL carrier may require you to submit a request for payment **after** the PFL day is taken. Payment for approved claims will be due as soon as possible but in no event more than 18 days from the date of the completed request.

Question 14: If the employee is submitting the PFL request to their employer with less than 30 days' advance notice from the start date of the PFL, the employee must explain why 30 days' notice could not be given. If the explanation will not fit in the space provided on the form, enter "See attached" and add an attachment with the explanation. Be sure to include the employee's full name and their date of birth at the top of the attachment.

#### **Employment Information** (to be completed by the employee)

Question 16: Enter the date of hire to the best of the employee's recollection. If it has been more than a year since the date of hire, entering the year in which employment started is sufficient.

Question 18: Enter the best estimate of average gross weekly wage. Include only the wages earned from the employer listed on this request form. The gross weekly wage is the total weekly pay — including overtime, tips, bonuses and commissions — before any deductions are made by the employer, such as federal and state taxes. If the employer is not able to supply this information, the employee can calculate their gross weekly wage as follows:

**Step 1:** Add all gross wages received (<u>before</u> any deductions) over the last eight weeks prior to the start of PFL, including overtime and tips earned. (See Step 3 for instructions for calculating bonuses and/or commissions.)

**Step 2:** Divide the gross wages calculated in step one by eight (or the number of weeks worked if less than eight) to calculate the average weekly wage.

**Step 3:** If the employee received bonuses and/or commissions during the 52 weeks preceding PFL, add

the prorated weekly amount to the average weekly wage. To determine the prorated weekly amount, add all bonuses/commissions earned in the preceding 52 weeks and then divide by 52.

Example of a gross weekly wage calculation:

| Week 1 - Gross wage including overtime  | \$550   |
|---|---------|
| Week 2 - Gross wage                     | \$500   |
| Week 3 - Gross wage                     | \$500   |
| Week 4 - Gross wage                     | \$500   |
| Week 5 - Gross wage                     | \$500   |
| Week 6 - Gross wage                     | \$500   |
| Week 7 - Gross wage, including overtime | \$600   |
| Week 8 - Gross wage, including overtime | + \$500 |
| Total =                                 | \$4,200 |
| Divide by 8                             | ÷ 8     |
| Average Weekly Wage =                   | \$525   |
| Bonus earned in preceding 52 weeks      | \$2,600 |
| Divide by 52                            | ÷ 52    |
| Prorated Weekly Bonus =                 | \$50    |
|   | 4       |

Form PFL-1 Instructions continued on next page

#### PART A - EMPLOYEE INFORMATION (to be completed by the employee) - continued from prior page

#### Form PFL-1 Instructions continued from prior page

Average Weekly Wage \$525 Prorated Weekly Bonus \$50 \$575

#### Average Weekly Wage (including bonus) =

Please note that the employer is also required to provide this information in Part B of the Request for Paid Family Leave (Form PFL-1).

When pre-submitting form: Indicate if the employee is pre-submitting their PFL request. Pre-submitting is defined as submitting the application in advance of an upcoming qualifying event, with certain required information missing due to the information being unknown at the time of the submission. If pre-submitting is permitted by the carrier

or self-insured employer, the missing information must be supplied as soon as it is known. Benefits cannot be determined until all of the required information is provided.

The PFL insurance carrier or self-insured employer will provide the employee a notice within five days which 1) states the claim is pending; 2) identifies what information is missing; 3) instructs how to submit the missing information. Once all information is supplied, the PFL insurance carrier or self-insured employer has 18 days to pay or deny the claim.

If the carrier or self-insured employer does not permit presubmitting, the carrier or self-insured employer must return the Request for Paid Family Leave to the employee within five days explaining that the claim should be re-submitted when all information is available.

Employee signs and dates before giving this form to their employer to complete Part B.

#### PART B - EMPLOYER INFORMATION (to be completed by the employer)

#### The employer of the employee requesting PFL must complete all information in Part B.

Question 2: If a Social Security number is used for the Federal Employer Identification Number (FEIN), enter the Social Security number.

Question 3: Enter the employer's Standard Industrial Classification (SIC) Code. Employers should contact their carrier if they don't know their SIC code.

Question 8: The employee occupation code can be found at: www.bls.gov/soc/2018/major groups.htm

Question 9: Enter the wages earned by the employee during the last eight weeks preceding the PFL start date. The gross amount paid is the employee's gross weekly pay, including any overtime and tips earned for that week, plus the weekly prorated amount of any bonus or commission received during the preceding 52 weeks. (For detailed steps, see Question 18 starting on page 1 of the instructions.) Calculate the gross average weekly wage by adding up the gross amounts paid, and then dividing the total by eight (or number of weeks worked if less than eight). Question 10: Failure to select "Yes" for requesting reimbursement from the insurance carrier will result in a waiver of the right to reimbursement.

Question 11a: 'Disability' refers to NYS statutory required disability. If the answer is "none," enter a "0" for total weeks and days in Question 12b.

Question 11b: The maximum number of weeks available for NYS statutory disability and PFL in any 52-week period is 26 weeks. Specify the total number of weeks, as well as the number of additional days if the leave includes a partial week, taken for NYS statutory disability and PFL during the preceding 52 weeks.

Questions 13, 14 & 15: Enter the Paid Family Leave or Disability/PFL insurance carrier's name, address and PFL policy number. If this employer is self-insured, enter the name and address of where the PFL request should be submitted for processing.

Affirmation employee is eligible for PFL: An employee who regularly works 20 hours or more per week must have been in employment for at least 26 consecutive weeks. An employee who regularly works less than 20 hours per week must have worked 175 days.

Employer signs and dates, and then returns to the employee requesting PFL within three business days.

Be sure to complete the appropriate additional PFL form(s) based on the type of leave being requested.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their Social Security number or Taxpayer Identification Number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your Social Security number or Taxpayer Identification Number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



## **Request for Paid Family Leave**

(Form PFL-1)

INSTRUCTIONS INCLUDED WITH FORM

| PART A - EMPLOYEE INFORMATION (to be completed   | by the employee)  |
|--|---|
| 1. Employee's legal name (first name, middle initial, last name)   |   |
|  | Optional (for research purposes)  |
| 2. Other last names, if any, under which employee has worked   | 10. Employee's ethnicity/race<br>For purposes of health demographic only. (U.S. Centers for<br>Disease Control and Prevention (CDC) code set, version 1.0.) |
| 3. Employee's mailing address  | Is employee of Hispanic, Latino/a, or Spanish origin? (One or more categories may be selected.)   |
| Street address   | Mexican   |
|  | Mexican American  |
| City, State  | Chicano/a   |
|  | Puerto Rican  |
| Zip code Country (if not U.S.A.)   | Dominican   |
|  | Cuban   |
|  | Another Hispanic, Latino/a, or Spanish origin   |
| 4. Employee's Social Security number or Taxpayer Identification Numb   | Not of Hispanic, Latino/a, or Spanish origin  |
|  | Unknown   |
| E Employee's data of high (MM/DD/VVVV)   | <b>W</b>  |
| 5. Employee's date of birth (MM/DD/YYYY)   | What is employee's race? (One or more categories may be selected.)  |
| 1 1  | American Indian or Alaska Native  |
| . Employee's primary telephone number  | Black or African American   |
| ( ) -  | Asian Indian  |
| ( )  | Chinese   |
| 7. Employee's preferred email address while on PFL (if avail   |   |
|  | Japanese  |
|  | Korean  |
| B. Employee's gender   | Vietnamese  |
| M F X  | Other Asian   |
| Formation of the state of the s | White   |
| Delegation   | Native Herreitee  |
| EnglishEspañolPусскийPols  | 국어 Guamanian or Chamorro  |
|  | Samoan  |
| Other  | Other Pacific Islander  |
|  | Other race  |
|  |   |
| Paid Family Leave (PFL) Request (to be completed by  | the employee)   |
| - Land Family Leave (FFL) Request (to be completed by  |   |
| 11. Reason for PFL request: Bond with child Care for fa  | amily member Military qualifying event  |
| 12. The family member is employee's:   |   |
|  | arent-in-law Grandparent Grandchild Sibling   |
|  | Form PFL-1 continued on next page   |
|  |   |

| TO BE COMPLETED BY THE EMPLOYEE   |   |
|---|---|
| Employee's name (first name, middle initial, last name)   | Employee's date of birth (MM/DD/YYYY)   |
|   | 1 1   |
|   |   |
| PART A - EMPLOYEE INFORMATION (to be complete   | ed by the employee) - continued from prior page   |
| Form PFL-1 continued from prior page  |   |
| 13. Will PFL be for a continuous period of time and/or in   | termittent?   |
| PFL start date (MM/DD/YYYY) Continuous  | PFL end date (MM/DD/YYYY)  Dates are estimated  |
|   | I I Dates are estimated   |
| Identify dates intermittent PFL will be taken:  | Dates are estimated   |
| Intermittent  |   |
|   |   |
| 14. If providing less than 30 days' advance notice to the   | employer, please explain:   |
|   |   |
| Employment Information (to be completed by the en   | nplovee)  |
| 15. Business name   | 4   |
|   |   |
| 40. 5 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -   |   |
| 16. Employee's date of hire (MM/DD/YYYY) /  | 1   |
| 17. Employee's work location  Street address  |   |
| olitoti address   |   |
| City, State   | Zip code Country (if not U.S.A.)  |
|   |   |
| 18. Employee's average gross weekly wage (This data will  | be requested of both employee and employer)   |
| 19. Employer's telephone number for contact regarding   | this request ( ) -  |
|   | · , , , , , , , , , , , , , , , , , , ,   |
| 20a. Does employee have more than one employer?   | Yes No  |
| 20b. If yes, is employee taking PFL from the other emplo  | yer? Yes No   |
| 21. Is employee currently receiving workers' compensat  | ion lost wage benefits? Yes No  |
| Disclosure statement: Information regarding PFL benefits received by the en   | mployee, such as payments received and types of leave, will be provided to the employer.  |
| Declaration and signature   |   |
| -   | any or other person files an application for insurance or statement of claim containing   |
| any materially false information, or conceals for the purpose of misleading,  | information concerning any fact material thereto, commits a fraudulent insurance act, ive thousand dollars and the stated value of the claim for each such violation. |
| I am hereby making a request for Paid Family Leave benefits under the NY providing is true and accurate to the best of my knowledge and belief. | 'S Workers' Compensation Law. My signature affirms that the information I am  |
| Employee's signature  | Date signed (MM/DD/YYYY)  |
|   |   |
| I am submitting this form in advance (see instructions about pre-submediation required missing information.                                     | nitting). I understand the insurance carrier will contact me to advise how to submit the  |

| TO BE COMPLETED BY THE EMPLOYEE                         |                                       |
|---|---------------------------------------|
| Employee's name (first name, middle initial, last name) | Employee's date of birth (MM/DD/YYYY) |
|   |                                       |
|   |                                       |

| E  |  | - (   | to be completed by th                                | ,,                  |                          |
|--|--|---|--|---------------------|--------------------------|
| Business's full legal name and mailing address     Business name |  |   |  |                     |                          |
|  |  |   |  |                     |                          |
| I  | Mailing addı   | ess   |  |                     |                          |
|  | City, State  |   | Zip co   | ode                 | Country (if not U.S.A.)  |
| . E  | Employer   | 's FEIN -   |  |                     |                          |
| . Е  | Employer   | 's Standard Industrial Classific  | cation (SIC) Code                                    |                     |                          |
| . E  | Employer   | 's contact name for questions   | related to PFL                                       |                     |                          |
| -  |  |   |  |                     |                          |
|  | Employer's contact telephone number ( ) -                |   |  |                     |                          |
| . E  | Employer   | 's contact telephone number   | ( )  | -                   |                          |
|  |  | 's contact telephone number   | ( )  | -                   |                          |
|  |  |   | ( )  | •                   |                          |
| . E  | Employer   |   | ( )  | -                   |                          |
| 6. E   | Employer<br>Employee                                     | 's contact email address  | 1 1  | ajor groups.htm     | -                        |
| . E  | Employer<br>Employee                                     | 's contact email address 's date of hire (MM/DD/YYYY)   | / / / at: www.bls.gov/soc/2018/m                     |                     | -<br>e gross weekly wage |
| . E  | Employer<br>Employee                                     | 's contact email address  's date of hire (MM/DD/YYYY)  's occupation Codes are available                                 | / / / at: www.bls.gov/soc/2018/m                     |                     | -<br>e gross weekly wage |
| . E  | Employer Employee Employee                               | 's contact email address  's date of hire (MM/DD/YYYY)  's occupation Codes are available last 8 weeks of gross wages for | / / at: www.bls.gov/soc/2018/m or the employee and c | alculate the averag | -<br>e gross weekly wage |
| . E  | Employer Employee Employee Enter the Week no.            | 's contact email address  's date of hire (MM/DD/YYYY)  's occupation Codes are available last 8 weeks of gross wages for | / / at: www.bls.gov/soc/2018/m or the employee and c | alculate the averag | -<br>e gross weekly wage |
| . E  | Employee Employee Enter the Week no.                     | 's contact email address  's date of hire (MM/DD/YYYY)  's occupation Codes are available last 8 weeks of gross wages for | / / at: www.bls.gov/soc/2018/m or the employee and c | alculate the averag | -<br>e gross weekly wage |
| . E  | Employee Employee Enter the Week no. 1                   | 's contact email address  's date of hire (MM/DD/YYYY)  's occupation Codes are available last 8 weeks of gross wages for | / / at: www.bls.gov/soc/2018/m or the employee and c | alculate the averag | -<br>e gross weekly wage |
| . E  | Employee Employee Enter the Week no.  1 2 3              | 's contact email address  's date of hire (MM/DD/YYYY)  's occupation Codes are available last 8 weeks of gross wages for | / / at: www.bls.gov/soc/2018/m or the employee and c | alculate the averag | -<br>e gross weekly wage |
| . E  | Employee Employee Enter the Week no.  1 2 3 4            | 's contact email address  's date of hire (MM/DD/YYYY)  's occupation Codes are available last 8 weeks of gross wages for | / / at: www.bls.gov/soc/2018/m or the employee and c | alculate the averag | -<br>e gross weekly wage |
| . E  | Employer Employee Employee Enter the Week no.  1 2 3 4 5 | 's contact email address  's date of hire (MM/DD/YYYY)  's occupation Codes are available last 8 weeks of gross wages for | / / at: www.bls.gov/soc/2018/m or the employee and c | alculate the averag | e gross weekly wage      |
| . E  | Employer Employee Employee Enter the Week no.  1 2 3 4 5 | 's contact email address  's date of hire (MM/DD/YYYY)  's occupation Codes are available last 8 weeks of gross wages for | / / at: www.bls.gov/soc/2018/m or the employee and c | alculate the averag | e gross weekly wage      |

| TO BE COMPLETED BY THE EMPLOYEE                         |                                       |
|---|---------------------------------------|
| Employee's name (first name, middle initial, last name) | Employee's date of birth (MM/DD/YYYY) |
|   |                                       |
|   | <del></del>                           |

| PART B - EMPLOYER INFORMATION (to be completed by the employer) - continued from prior page  |  |   |                            |                        |   |
|--|--|---|----------------------------|------------------------|---|
| Form PFL-1 continued from prior page   |  |   |                            |                        |   |
|  |  |   |                            | m. NVC Disability.     | PFL Both Disability and PFL None  |
|  | ·  | •   | employee taken leave fo    |                        |   |
| 11b.   | Enter the tot  |   | and days taken for bo      | -                      | . in the last 52 weeks:   |
|  | Weeks Please provide specific dates for Disability:  |   |                            |                        |   |
|  | Disability:  |   |                            |                        |   |
|  |  | Days                                      |                            |                        |   |
|  |  |   |                            |                        |   |
|  |  | Weeks                                     | Please provide specific of | lates for PFL:         |   |
|  |  |   |                            |                        |   |
|  | PFL:   | Days                                      |                            |                        |   |
|  |  |   |                            |                        |   |
| 40   | le the employ  | ee toking Comily M                        | edical Leave Act (FML      | A) concurrently with F | PFL? Yes No   |
|  |  |   |                            | A) concurrently with F | PFL?YesNo   |
| 13.  |  | e carrier's name an                       | d mailing address          |                        |   |
|  | PFL insurance ca<br>Renaissance Life   | arrier s name<br>e & Health Insurance Con | npany of New York          |                        |   |
|  | Mailing address  |   |                            |                        |   |
|  | P.O. Box 1596  |   |                            |                        |   |
|  | City, State  |   |                            | Zip code               | Country (if not U.S.A.)   |
|  | Indianapolis, IN   |   |                            | 46206                  |   |
|  |  |   |                            |                        |   |
| 14.  | PFL insurance  | e carrier's telephon                      | e number (                 | ) -                    |   |
| 15.  | PFL policy nu  | mber                                      |                            |                        |   |
|  |  |   |                            |                        |   |
| Dec  | laration and si  | ignature                                  |                            |                        |   |
|  |  |   |                            |                        | en in employment for at least 26<br>week and has worked at least 175 days.                                    |
|  |  | •   |                            | •                      | •   |
| any n  | Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, |   |                            |                        |   |
| which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.  |  |   |                            |                        |   |
| I am the person authorized to sign as the employer of the employee requesting PFL. My signature affirms that to the best of my knowledge and belief, the information I have provided is true and accurate. |  |   |                            |                        |   |
| Empl   | Employer's authorized signature  |   |                            |                        |   |
|  |  |   |                            | Date signed (MM/DD/    | YYYY)   |
|  |  |   |                            |                        | I   |
| Title  | Title  |   |                            |                        |   |
|  |  |   |                            |                        |   |
|  |  |   |                            |                        | l de la companya de |

## **Bonding Certification (Form PFL-2) Instructions**

If the employee is requesting PFL to bond with a newborn, an adopted child, or a foster child, the employee must submit the *Bonding Certification (Form PFL-2)* with the *Request for Paid Family Leave (Form PFL-1)*.

#### BONDING CERTIFICATION (to be completed by the employee)

The employee requesting PFL must complete all applicable requested information. Send completed forms and supporting documentation to insurance carrier.

If this form is being submitted in advance (pre-submitting) and some information is unknown, the insurance carrier will contact the employee and explain how to provide the required additional information.

**Questions 1 & 2:** If the form is submitted to the PFL insurance carrier prior to the birth of a child, this is considered presubmitting. The employee is then required to provide the required documentation of the child's birth to the PFL insurance carrier. The PFL carrier will tell the employee how to provide the required additional documentation.

There may be instances where PFL can be taken before the adoption or foster care is finalized. For example, the employee may be required to appear in court or travel to another country as part of the adoption or foster care process. The employee should include documentation to show that the PFL is necessary to further the adoption or foster care.

Question 5: See chart below for documentation details. Unless specified, do not send the original documents.

| Bonding Form/Certification                             | Description   |
|--|---|
| Health care provider certification of pregnancy        | An <b>original</b> letter obtained from the birth parent's health care provider that certifies pregnancy. It should include the parent's name and the expected due date.  |
| Health care provider certification of birth            | An <b>original</b> letter obtained from the birth parent's health care provider that includes the parent's name and child's date of birth.  |
| Birth Certificate                                      | A <b>copy</b> of the certificate issued by the city or county office in which the child is born.  |
| Voluntary Acknowledgment of Parentage (Form LDSS-5171) | A <b>copy</b> of the form that establishes legal parentage when the parents are unmarried. Completed by both parents.  For more information, see <a href="mailto:childsupport.ny.gov/dcse/aop_howto.html">childsupport.ny.gov/dcse/aop_howto.html</a>   |
| Court Order of Filiation                               | A <b>copy</b> of the order from the family court that names the father of a child. Establishes legal fatherhood when the parents are unmarried. Completed by both parents. For more information, visit <a href="mailto:childsupport.ny.gov/dcse/aop_howto.html">childsupport.ny.gov/dcse/aop_howto.html</a> |
| Marriage Certificate                                   | A <b>copy</b> of the official statement issued by the town or city clerk from which the marriage certificate was issued.  |
| Civil union/domestic partner's documentation           | A <b>copy</b> of the certificate of civil union or domestic partnership.  |
| Foster care placement letter                           | A <b>copy</b> of the letter of foster care placement issued by the county or city department of social services or authorized voluntary foster care agency.   |
| Court documents of adoption                            | A <b>copy</b> of the court document finalizing adoption or documentation in furtherance or court order finalizing adoption.   |
| Other documentation                                    | Other documentation of parental relationship may be accepted if none of the others listed apply.  |

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their Social Security number or Taxpayer Identification Number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your Social Security number or Taxpayer Identification Number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



## **Request for Paid Family Leave**

## Bonding Certification (Form PFL-2)

INSTRUCTIONS INCLUDED WITH FORM

|   |                                     | INSTRUCTIONS INCLUDED WITH FORM                     |
|---|-------------------------------------|---|
| TO BE COMPLETED BY THE EMPLOYEE   |                                     |   |
| Employee's name (first name, middle initial, last name)                 | Employee's date of b                | pirth (MM/DD/YYYY)                                  |
|   | 1 1                                 |   |
| Other last names, if any, under which employee has worked               | Employee's Social S                 | ecurity Number or TIN                               |
| , , , , , , , , , , , , , , , , , , ,                                   | · · ·                               | <u>.</u>  |
| Employee's mailing address  |                                     |   |
| Mailing address   |                                     |   |
|   |                                     |   |
| City, State   | Zip code                            | Country (if not U.S.A.)                             |
|   |                                     |   |
|   |                                     |   |
| BONDING CERTIFICATION (to be completed by the em                        | ployee)                             |   |
| 1. Child's date of birth (MM/DD/YYYY) / /                               |                                     |   |
| 2. Child's gender M F X   |                                     |   |
| 3. Does child live with the employee requesting PFL?                    | Yes No                              |   |
| 4. Child is employee's:   |                                     |   |
| Biological child Stepchild Foster child Adopted chi                     | ild Legal ward Spou                 | se/Domestic partner's child  Loco parentis          |
| 5. Select one of the following and attach the document as               | required as evidence of t           | he relationship.                                    |
| Parent of newborn child:  |                                     |   |
| Birth parent:   |                                     |   |
| Health care provider certification of pregnancy (include expected       | •                                   |   |
| Health care provider certification of birth (include date of birth of o | child AND birth parent's name); (   | JR  |
| Child's birth certificate  Other parent:                                |                                     |   |
| Copy of birth certificate naming second parent; OR                      |                                     |   |
| Voluntary acknowledgment of parentage; OR                               |                                     |   |
| Court order of filiation; OR  |                                     |   |
| Birth parent documents (see above) PLUS one of the following:           |                                     |   |
| Marriage certificate; OR  |                                     |   |
| Certificate of civil union; OR  |                                     |   |
| Evidence of domestic partnership  |                                     |   |
| OR; Other documentation of parental relationship                        |                                     |   |
| Foster parent:  |                                     |   |
| Letter of foster care placement or anticipated placement issued by cou  | unty or city department of Social S | Services or authorized voluntary foster care agency |
| Adoptive parent:  |                                     |   |
| Court document finalizing adoption                                      |                                     |   |
| Documentation in furtherance of adoption                                |                                     |   |
| 6. Date of foster care or adoption placement, if applicable (           | (MM/DD/YYYY) /                      | 1   |
|   |                                     | Form PFL-2 continued on next page                   |

#### FORM PFL-2 - CONTINUED FROM PRIOR PAGE

| TO BE COMPLETED BY THE EMPLOYEE   |  |
|---|--|
| Employee's name (first name, middle initial, last name)   | Employee's date of birth (MM/DD/YYYY)  |
|   | 1 1  |
|   |  |
| BONDING CERTIFICATION (to be completed by the en  | mployee) - continued from prior page   |
| Form PFL-2 continued from prior page  |  |
| Declaration and signature   |  |
| any materially false information, or conceals for the purpose of misleading,  | any or other person files an application for insurance or statement of claim containing information concerning any fact material thereto, commits a fraudulent insurance act, live thousand dollars and the stated value of the claim for each such violation. |
| I am hereby making a request for Paid Family Leave benefits under the NY providing is true and accurate to the best of my knowledge and belief. | 'S Workers' Compensation Law. My signature affirms that the information I am   |
| Employee's signature  |  |
|   | Date signed (MM/DD/YYYY)   |
|   | 1 1  |